TO BE COMPLETED BY THE CHAIR OF THE DEPARTMENT OR DIRECTOR OF THE CENTER

1. Name of proposed investigator:				
2. <u>Cur</u>	rent Position (including scho	ool or institute(s) of current appo	ointment):
	Current Position/title:			
	From	_ to		
OR				
	Without limit □			
3. <u>Nat</u>	ture of the request:			
	Project-specific proposal:	Yes □	No □	
	Time-limited proposal:	Yes ☐ from _		_ to
		No □		

Please note that the granting of PI status does not, in and of itself, imply any other change in the nature or duration of the investigator's appointment in the FAS, which must otherwise be consistent with the terms specified in the Appointments Handbook and in the "Stipulations Regarding Research Appointments Within the Faculty and Affiliated Institutions, available at http://research.fas.harvard.edu/policies/stipulations-regarding-research-appointments-within-faculty-and-affiliated.

4. Experience and Scholarly Qualifications of the proposed investigator:

Application for the exceptional granting of Principal Investigator Rights, page 2.

5.	<u>Current and anticipated obligations to the University</u> (ensuring that appropriate time can be devoted to the project):
6.	<u>Justification</u> : Reason for request for Principal Investigator rights? (Indicate proposed project(s) and potential sponsor(s). Why does this case merit an exception to the FAS policy on PI rights?
7.	<u>Limitations</u> : Are there any special limitations that should be imposed on the proposed investigator's ability to apply for and serve as PI on proposals?
	☐ Limitations on areas of inquiry for which support may be sought. If so, please clarify:
	☐ Limitations on types of appointments to be paid with sponsored funds. If so, please clarify:
	□ Other:

Application for the exceptional granting of Principal Investigator Rights, page 3.

8.	Oversight: Will oversight by an individual with a professorial appointment in the Faculty be necessary to ensure that the proposed investigator is familiar with the various programmatic, fiduciary, and other administrative and compliance responsibilities for which s/he will be responsible?
	□ Name of supervisor: If so, name of supervisor:
	☐ If not, please explain.
9.	Resources: Will the proposed project be accommodated without incremental resources? If not what are the nature and the source of any additional resources that will be provided (including, but not limited to, space, equipment, and personnel) to complete the project?
10.	<u>Appointment</u> : Is the proposed investigator applying for a sponsored award that will extend beyond the end of his/her appointment at the University? If yes, what suitable arrangement is proposed?
11.	<u>Financial Conflict of Interest (fCOI) Disclosure</u> : In accordance with University and FAS policies regarding fCOI (http://vpr.harvard.edu/pages/financial-conflict-interest-policy and http://research.fas.harvard.edu/policies/financial-conflicts-interest-disclosures), the proposed investigator agrees to submit a FAS Financial Conflict of Interest disclosure online at https://fcoi.harvard.edu as required based on appointment type or sponsor.
12.	Affirmation of Policy Awareness: Is the proposed investigator aware that FAS academic appointees should inform the Chair of the Committee on Professional Conduct or the Dean, ordinarily the former, if they may have a potential conflict of commitment in accordance with the Policies Relating to Research and Other Professional Activities Within and Outside the University (http://research.fas.harvard.edu/policies/policies-relating-research-and-other-professional-activities-within-and-outside); or, if the proposed investigator has a staff appointment, they must disclose conflicts as explained in Section 2 of the Personnel Manual (http://harvie.harvard.edu/Policies Contracts/Staff Personnel Manual/)?

□ Please check here to indicate that the proposed investigator is aware of these policies.

Official endorsement by the Chair or the Center Director in which the project will be housed:

Name:	Position:
Signature	
Date:	
	TO BE COMPLETED BY THE DIVISIONAL DEAN
Approval (granted
	For the following project:
	For the following range of projects:
	On a continuing basis.
	For the period from to
Signature	
Date:	